1. Who adjudicates alleged disciplinary violations by undergraduate students?

The Faculty-Student Committee on Discipline (COD) adjudicates all alleged violations of academic integrity regulations other than those violations that fall under the jurisdiction of the Honor Committee. The COD also adjudicates charges of non-academic violations when the student charged could, if found responsible, be separated from the University—that is, when the student could be subject to a suspension, suspension with conditions, a withheld degree, or expulsion.
There are several other judicial authorities at Princeton.¹

- The Honor Committee adjudicates alleged violations of the Honor Code in or regarding in-class examinations.
- Where separation from the University is not a likely outcome, and where clear precedents exist, the Residential College Disciplinary Board (RCDB) may adjudicate non-academic charges against undergraduates.
- Title IX panels convened by the Vice Provost adjudicate alleged acts of sexual misconduct and other Title IX issues.

Graduate students should consult *RRR* section 2.6.

2. Who is on the Committee on Discipline?

The full COD comprises six members of the faculty, eight undergraduate students, and the deputy dean of the college. The dean of undergraduate students serves as chair of the committee; the deputy dean of the college may replace her as chair. The chair serves without vote except in the event of a tie. An associate or assistant dean of undergraduate students serves as secretary. The secretary does not vote.

A quorum of the committee consists of two members of the faculty and three students.

3. How are alleged disciplinary violations reported?

Generally, alleged academic integrity violations, such as plagiarism, are reported by instructors to the Office of the Dean of Undergraduate Students (ODUS). Occasionally students voice concerns about possible academic integrity violations.

A majority of non-academic alleged infractions come to the attention of ODUS through reports from the Department of Public Safety (DPS), although they can be received from other community members as well.

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¹ For more information about the procedures followed by RCDB, see *Rights, Rules, Responsibilities* section 2.5.3 and a separate FAQ on this topic, available on the ODUS website. For more information about the Title IX process, see https://sexualmisconduct.princeton.edu/ and *RRR* section 1.3. For more information about the Honor Committee, see *RRR* section 2.3 or visit www.princeton.edu/honor/. If you are a graduate student, consult section 2.6 of *RRR*. 
4. May one student file a complaint of misconduct against another student?

Yes, if you believe that you have been the victim of, or have witnessed, a violation of University rules and regulations, you are strongly encouraged to contact your director of student life (DSL) or a dean in ODUS. Remember that undergraduates are obligated to report suspected violations of the Honor Code to the chair of the Honor Committee by emailing honor@princeton.edu.

5. Will my report be kept confidential?

If you choose to file a report through your DSL or a dean in ODUS and prefer to remain anonymous, every attempt will be made to accommodate you in this regard. However, your anonymity cannot be guaranteed. If a student is charged with a disciplinary violation, that student will have the right to review all information that will be considered by RCDB when adjudicating the charge, and it may be necessary to disclose your name.

Note that the University maintains an EthicsPoint hotline where anyone may make an anonymous report: 1-866-478-9804 or princetonuniversity.ethicspoint.com.

6. Who follows up on reports of alleged misconduct? What is that process like? Can I do my own investigation?

If there is a report of possible misconduct on your part, here’s what will happen. A dean or other investigator will reach out to you to discuss the matter. During this meeting, all relevant and available reports and statements will be read to you. You will be asked to give your side of the story. You’ll be invited to identify any witnesses who may have relevant information, or to identify any documents (photos, videos, emails, text messages, etc.) that may be pertinent. The dean/investigator will take notes and explain next steps. You will be invited to write a statement in your own words.

The dean/investigator will interview any other witnesses and collect documents.

You are strongly discouraged from doing any “detective work” yourself. Please refrain from directly contacting potential witnesses and discussing the case while it is in progress. This policy helps safeguard the integrity of the information-gathering process and helps prevent the real or perceived experience of pressure, retaliation, or coercion. Instead, tell the dean/investigator about any witnesses who should be interviewed and any other relevant information, and s/he will take care of it.
7. How long will the information-gathering process take?

The University seeks to conduct disciplinary adjudications with reasonable promptness. The information-gathering process will proceed as quickly as possible.

8. Who decides whether a student will be charged with a disciplinary violation?

At the conclusion of the information-gathering process, the chair of the COD, in consultation with other University officials as appropriate, will determine whether the University will charge you with one or more violations of University policy. Remember that being charged is not the same thing as being found responsible.

9. How will I know what I am charged with? When can I see the documents?

At the end of the information-gathering process:

(1) The secretary of the Committee will meet with you to review the charges and to go over COD standards and procedures;
(2) You will be notified in writing of the specific charge(s) that the COD will consider and the date on which the matter will be decided;
(3) You will be provided with copies of all documents that the COD will refer to in deciding your case. Read these documents carefully, and if anything is missing or incomplete, contact the secretary of the Committee.

10. I have been charged with a violation of University policy. I have been told the case will be heard by the COD. What do I do next?

A student whose case will be heard by the COD should do the following.

(a) **Decide who will serve as your adviser.** Your adviser may be any person in the current residential University community.

You may wish to consider asking your residential college dean, director of student life (DSL), or director of studies (DOS) to advise you, because these individuals all have a great deal of experience in the disciplinary process.

Your adviser can help you with many things, including:
• Reviewing the documents that have been provided to you;
• Discussing whether additional documents, statements or other information should be considered by the COD in deciding your case;
• Drafting statements you would like to communicate to the Committee;
• Helping you anticipate questions that may be asked during the hearing.

(b) **Make an appointment to speak with your DSL** even if you have chosen a different adviser. Your DSL will be able to assist you in many ways as you prepare for a COD hearing, including connecting you with resources and support that may be available to you during and after the process.

(c) **Contact the secretary of the Committee with any questions** about the charges, the procedures and standards the COD will follow, or any other concern about your case.

(d) **If you are a student athlete**, meet with Assistant Director of Athletics Kelly Widener (kw2@princeton.edu or 258-5312) and the Assistant Dean of the College (Athletic Advising) (jamesdun@princeton.edu or 258-1402) as soon as possible.

(e) **Consider making an appointment at Counseling and Psychological Services** or with another confidential counselor (e.g., the chaplains, SHARE, the University Ombuds officer) for confidential support and guidance. CPS can be reached at 258-3285.

(f) **Talk to your parents**. It is easier for parents to hear about a disciplinary matter before it is resolved, rather than after the fact.

(g) **Review the documents** with which you have been provided. If there are **any additional witnesses** who should be interviewed, or **any additional documents** that should be provided to the COD, contact Dean Shueh, Dean Blount and/or the investigator as soon as possible. You are strongly advised not to contact any witnesses about the case; instead, tell Dean Shueh, Dean Blount and/or the investigator about questions that should be asked of witnesses. You may also write a statement yourself.

(h) **Review Rights, Rules, Responsibilities**, especially the passages that describe the misconduct you are alleged to have committed. Look at section 2.5.2 for a description of the COD process.

(i) **Think about the opening and closing statements** that you will be invited to read during the hearing. Make notes and write drafts and discuss them with your adviser.
11. What are the respondent’s rights and opportunities during the hearing?

They are as follows:

- The respondent will receive written notice of the charge(s) to be considered by the COD, as well as the time, date and place of the hearing.
- The respondent will receive copies of all documents to be considered by the COD in advance of the hearing.
- The respondent may be accompanied by an adviser, selected from within the current residential University community.
- The respondent may be present during the entire hearing. Committee deliberations are not open to the respondent.
- The respondent may make opening and closing statements at the hearing.
- Witnesses who have been interviewed or have submitted written statements may be asked to be available at the hearing; the respondent may request to question any available witness.
- The respondent is normally informed of the outcome in person (or by telephone if an in-person meeting is difficult) the day after the hearing, and will receive written confirmation of the decision in the days that follow.

12. How does the COD make decisions?

At the conclusion of the hearing, the respondent and his/her adviser will be excused, and the COD will deliberate in closed session.

COD members first consider the question of whether there is clear and persuasive evidence of conduct that amounts to one or more of the violations of University policy with which the respondent has been charged. This decision is made by majority vote.

If the respondent is found responsible for one or more violations, the COD will consider the appropriate penalty. If a student is found responsible for a violation of University policy, there will always be a sanction. The COD has the authority to impose any of the penalties listed at section 1.1.7 of Rights, Rules, Responsibilities. In an academic case, the COD will be guided by this language:

In determining the seriousness of the offense, the Faculty-Student Committee on Discipline will consider whether the student ought reasonably to have understood that his or her actions were in violation of University regulations. If the committee concludes that this threshold has been met, the penalty will normally be one year’s suspension or suspension with conditions from the University. Egregious academic integrity violations
on the senior thesis may be grounds for expulsion. While the failure to fulfill the general requirements for acknowledgment of sources in academic work may not be determined to reach this level of seriousness, any such failure will be considered an academic infraction and will normally result in a disciplinary penalty. (RRR 2.4.6, 2.4.7 and 2.4.8.)

At this stage of the COD’s deliberations, the secretary will disclose whether the student has a previous disciplinary record. The COD considers relevant precedents, among other factors, and determines the appropriate penalty, again by majority vote.

13. Who will be informed about the outcome?

The respondent and his or her advisor will be informed of the outcome the day after the hearing.

Disciplinary records are highly confidential. Only Princeton University officials with a professional need to know, such as the student’s residential college staff, and the instructor in an academic integrity case, will be informed about the outcome. With very few exceptions (e.g., in cases implicating Title IX), disciplinary records are not disclosed to other students. Disciplinary records are not disclosed to outside parties without the student’s written consent.

Separations from the University (suspensions, suspensions with conditions, withheld degrees and expulsions) are communicated to a student’s parents or legal guardians. Parents may be notified when a student has been found responsible for a violation which, if repeated, could lead to a separation. While the University will not generally reach out to parents/guardians in advance of a hearing, we urge students to take that initiative and be open with their parents about the fact that they have been charged with a violation and explain the range of potential outcomes.

14. What is a respondent’s right of appeal?

If the respondent is found responsible for one or more academic integrity violations, s/he may appeal to the dean of the college on the grounds that (1) there exists substantial relevant information that was not presented, and reasonably could not have been presented, to the Faculty-Student Committee on Discipline, or (2) the imposed penalty does not fall within the range of penalties imposed for similar misconduct. A student found responsible for an academic integrity violation may also file a procedural appeal with the Judicial Committee of the Council of the Princeton University Community (CPUC).

If the respondent is found responsible for one or more non-academic violations, s/he may appeal the decision to an appellate body comprising three of the following five people: the dean of the College, the Vice President of Campus Life, the dean of the Graduate School, the chairman of the Judicial Committee of the CPUC, and one other member of the faculty.
appointed by the President. The respondent may appeal on one or more of the following grounds: (1) the procedures were not fair and reasonable; (2) substantial relevant information has emerged that was not presented, and reasonably could not have been presented to the COD; (3) the imposed penalty does not fall within the range of penalties imposed for similar misconduct.

Note that “the purpose of an appeal is not to initiate a review of substantive issues of fact or a new determination of whether a violation of University rules has occurred” (RRR 2.5.2).

The deadline for filing an appeal in a behavioral case is one week from the date of decision by the COD. All appeals are coordinated by Christine Gage in One Nassau Hall (cgage@princeton.edu or 258-3151).

15. What resources are available to a respondent before, during, or after the disciplinary process?

The residential college staff and the secretary of the COD will be happy to discuss resources and accommodations that may be of use to the respondent. The respondent is strongly advised to seek confidential counseling, for example, by visiting Counseling and Psychological Services, University Health Services, or the chaplains in the Office of Religious Life. The respondent may also consult his or her residential college staff about other accommodations that may be available, including housing and/or academic accommodations. The respondent may inquire about the possibility of a Dean’s No-Contact Order (DNCO), a non-disciplinary, administrative measure which may be relevant in non-academic cases.

16. What accommodations are available to me in the disciplinary process if I have a disability?

Students with a disability may obtain information regarding resources that may be available by contacting Elizabeth Erickson, Director for Disability Services ((609) 258-8840 or eerickso@princeton.edu).

17. If a student feels his/her Title IX rights have been violated in connection with the disciplinary process, what should s/he do?

The student may file a Title IX grievance with the Vice Provost for Institutional Equity and Diversity.